

Form PTO-1449 (Modified)
(Rev. 11/92)

U.S. Dept. of Commerce
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ATTY. DOCKET NO.

SERIAL NO.

0254-082/D1

10/092,104

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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(37 CFR 1.98(b))

U.S. PATENT DOCUMENTS

*Examiner Initial	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date (if appropriate)
CEB	3,246,386	04/19/1966	Ende	29/155.5		01/26/1962
	3,287,606	11/22/1966	Schwartz	317/101		12/16/1964
	3,290,559	12/06/1966	Kirby	317/101		06/16/1964
	3,313,986	04/11/1967	Kilby	317/101		12/23/1965
	3,377,516	08/04/1966	Ellett	317/101		08/04/1966
	3,403,300	09/24/1968	Horowitz, et al	317/101		09/01/1966
CEB	3,436,604	04/1969	Hyltin, et al	317/101		04/25/1966

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No
CEB	2-260448	10/1990	Japan			Yes	
CEB	3-167868A	07/19/1991	Japan			Yes	
CEB	3-96266	04/1991	Japan			Yes	
CEB	4-209562	07/30/1992	Japan			Yes	
CEB	6-77644	08/27/1992	Japan			Yes	
CEB	57-31166A	02/19/1982	Japan			Yes	
CEB	58-112348A	04/07/1983	Japan			Yes	
CEB	58-219757A	12/21/1983	Japan			Yes	
CEB	58-96756A	08/06/1983	Japan			Yes	
CEB	60-160641	08/1985	Japan			Yes	
CEB	60-254762A	12/16/1985	Japan			Yes	
CEB	61-163652A	07/24/1986	Japan			Yes	
CEB	61-219143A	09/29/1986	Japan			Yes	
CEB	61-63048	04/1986	Japan			Yes	
CEB	61-75558A	04/17/1986	Japan			Yes	
CEB	62-230027A	08/10/1987	Japan			Yes	
CEB	63-117451A	05/21/1988	Japan			Yes	
CEB	63-153849A	06/27/1988	Japan			Yes	

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CEB	Dense-Pac Microsystems, Inc., Catalog describing two products: DPS512X16A3 Ceramic 512KX16 CMOS SRAM Module, pp. 865-870.
CEB	Dense-Pac Microsystems, Inc., "Memory Products-ShortForm-Q4," 1994, 5 pages.
CEB	Dense-Pac Microsystems, Inc., "Short Order Catalog," 1990, 12 pages.
CEB	Dense-Pac Microsystems, Inc., "Short Form Catalog," 1991, 20 pages.
CEB	Dense-Pac Microsystems, Inc., "3-D Technology," 1993, 15 pages.
CEB	Dense-Pac Microsystems, Inc., 16-Megabit High Speed CMOS SRAM.
CEB	Dense-Pac Microsystems, Inc., 128-Megabyte SDRAM Sodimm.
CEB	Dense-Pac Microsystems, Inc., 256-Megabyte CMOS DRAM.
CEB	Dense-Pac Microsystems, Inc. flyer/"While others are still defining it... Our customers are cashing in!"

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(37 CFR 1.98(b))

U.S. PATENT DOCUMENTS

*Examiner Initial	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date (if appropriate)
CEB	3,515,949	06/02/1970	Michaels	317/101		11/22/1967
	3,535,595	10/20/1970	Moore	317/101		11/09/1967
	3,614,541	10/19/1971	Farrand	317/101		04/08/1969
	3,614,546	10/1971	Avins	174/234		01/07/1970
	3,671,812	06/20/1972	Peluso, et al	317/118		07/01/1970
	3,713,893	01/1973	Shirland	136/89		02/17/1967
	3,727,064	04/1973	Bottini	250/217		03/17/1971
	3,739,462	06/19/1973	Hasty	29/577		01/06/1971
	3,746,934	07/17/1973	Stein	317/101		05/06/1971
	3,925,801	12/09/1975	Haitz, et al	357/19		12/09/1975
	3,949,274	04/06/1976	Anacker	317/101		05/30/1974
	3,959,579	05/25/1976	Johnson	174/68.5		08/19/1974
	4,017,963	04/19/1977	Beyerlein	29/577		05/19/1975
	4,103,318	07/25/1978	Schwede	361/388		07/25/1978
	4,116,518	09/26/1978	Pleskac	339/17		08/31/1977
	4,116,519	09/26/1978	Grabbe, et al	339/17		08/02/1977
	4,139,726	02/13/1979	Penrod, et al	174/52		01/16/1978

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Document Number	Date	Country	Class	Subclass	Translation	
					Yes	No
EP 122-687-A	10/24/1984	EU			Yes	
0 298 211 A3	01/11/1989	EU			Yes	
834-957	05/30/1981	SU			Yes	

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✓	Tuckerman, D.B. et al., "Laminated Memory: A New 3-Dimensional Packaging Technology for MCMs" article, nCHIP, Inc., IEEE, 1994.
✓	1992 Proceedings, 42 nd Electronic Components & Technology Conference, May 18-20, 1992.
✓	Research Disclosure, Organic Card Device Carrier, 31318. May 1990, No. 313.
✓	"Introducing a Revolutionary 3 Dimensional Package Type - The SLCC," John Forthun, Advancement in Technology, Feb. 26, 1992, 12 pages.
✓	"New levels of hybrid IC density are provided by Three-Dimensional Packaging" article, 2 pages.
✓	3-D Integrated Packaging and Interconnect Technology; Wescon/90 Conference Record, held 13-15 Nov. 1990, Anaheim, CA.

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*Examiner Initial	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date (if appropriate)
RECEIVED APR 22 2002 TECHNOLOGY CENTER 2600	4,158,745	06/19/1979	Keller	174/52		10/27/1977
	4,241,493	12/30/1980	Andrulitis, et al	29/572		12/22/1978
	4,288,808	09/08/1981	Hantusch	357/68		01/23/1979
	4,288,841	09/08/1981	Gogal	361/414		09/20/1979
	4,321,418	03/23/1982	Dran, et al	136/251		05/08/1980
	4,331,258	05/1982	Geschwind	220/359		03/05/1981
	4,364,620	12/21/1982	Mulholland, et al	339/17		09/05/1980
	4,371,912	02/01/1983	Guzik	361/417		10/01/1980
	4,379,259	04/05/1983	Varadi, et al	324/73		03/12/1980
	4,394,712	07/19/1983	Anthony	361/411		03/18/1981
	4,398,235	08/09/1983	Lutz, et al	361/393		09/11/1980
	4,406,508	09/27/1983	Sadigh-Behzadi	339/75		07/02/1981
	4,437,235	03/20/1984	McIver	29/840		08/23/1982
	4,451,973	06/05/1984	Tateno, et al	29/588		04/13/1982
	4,521,828	06/04/1985	Fanning	361/386		12/23/1982
	4,525,921	07/02/1985	Carson, et al	29/577		07/25/1983
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0254-082/D1	"Alternative Assembly for Memory Ics," XP-002093051, Electronic Engineering, January 1987, p. 22.
0254-082/D1	Christian VAL and Thierry Lenoin, 3d Interconnection for Ultra-Dense Multichip Modules, IEEE, pp. 540-547.
0254-082/D1	Dean Frew, "High Density Memory Packaging Technology High Speed Imaging Applications," SPIE, vol. 1346 Ultra-high-and High-Speed Photography, Videography, Photonics, and Velocimetry '90, pp. 200-209.
0254-082/D1	International Electron Device Meeting, IEDM Technical Digest, Washington, D.C., December 6-9, 1987.
0254-082/D1	Patent Abstract of Japan, Publication No. 05029534, Published 05-02-1993, Inventor: Nakamura Shigemi, entitled "Memory Module", European Patent Office.
0254-082/D1	Alvin Weinburg and W. Kinzy Jones, "Vertically-Integrated Package," IEEE, pp. 436-443.

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REB	4,574,331	03/04/1986	Smolley	361/393		05/31/1983
	4,630,172	12/16/1986	Stenerson, et al	361/386		03/09/1983
	4,631,573	12/23/1986	Sutrina	357/82		05/24/1985
	4,633,573	01/06/1987	Scherer	29/589		05/23/1984
	4,638,406	01/20/1987	Samson	361/403		04/14/1986
	4,638,348	01/20/1987	Brown, et al	357/74		08/08/1983
	4,642,735	02/10/1987	Hodsdon, et al	361/424		02/27/1984
	4,680,617	07/14/1987	Ross	357/72		05/23/1984
	4,684,975	08/04/1987	Takiar, et al	357/70		12/16/1985
	4,688,864	08/25/1987	Sorel	439/74		03/28/1986
	4,696,525	09/29/1987	Coller, et al	439/69		12/13/1985
	4,698,663	10/06/1987	Sugimoto, et al	357/81		12/03/1986
	4,706,166	11/10/1987	Go	361/403		04/25/1986
	4,712,129	12/08/1987	Orcutt	357/84		09/30/1985
	4,722,060	01/26/1988	Quinn, et al	364/490		03/22/1984
	4,733,461	03/29/1988	Nakano	29/830		12/24/1985
	4,761,681	08/02/1988	Reid	357/68		09/08/1982
REB	4,763,188	08/09/1988	Johnson	357/74		11/04/1987
	4,764,846	08/16/1988	Go	361/388		01/05/1987

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REB	IBM Technical Disclosure Bulletin, vol. 20 No. 11A Apr. 1978.
REB	IBM Technical Disclosure Bulletin, vol. 23 No. 12 May 1981
REB	IBM Technical Disclosure Bulletin, vol. 30, No. 8, January 8, 1988, pp. 373-374.
REB	IBM Technical Disclosure Bulletin, vol. 32 No. 3B Aug. 1989.

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*Examiner Initial	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date (if appropriate)
RECEIVED	4,770,640	09/13/1988	Walter	439/69		06/24/1983
	4,796,078	01/03/1989	Phelps, Jr., et al	357/68		06/15/1987
	4,821,007	04/11/1989	Fields, et al	333/238		02/06/1987
	4,821,148	04/11/1989	Kobayashi, et al	361/392		06/04/1986
	4,823,234	04/18/1989	Konishi, et al	361/386		06/01/1986
	4,829,403	05/09/1989	Harding	361/386		01/20/1987
	4,833,568	05/23/1989	Berhold	361/383		01/29/1988
	4,839,717	06/13/1989	Phy, et al	357/74		06/07/1988
	4,841,355	06/20/1989	Parks	357/82		02/10/1988
	4,855,868	08/08/1989	Harding	361/386		07/20/1987
	4,862,245	08/29/1989	Pashby, et al	357/70		02/19/1988
	4,862,249	08/29/1989	Carlson	357/80		04/17/1987
	4,868,712	09/19/1989	Woodman	361/388		10/27/1987
	4,878,106	10/31/1989	Sachs	357/72		05/06/1988
	4,884,237	11/28/1989	Mueller, et al	365/63		03/17/1989
	4,891,789	01/02/1990	Quattrini, et al	365/63		03/03/1988
	4,924,352	05/08/1990	Septfons	361/388		12/21/1988
	4,948,645	08/14/1990	Holzinger, et al	428/40		08/01/1989
	4,953,005	08/28/1990	Carlson, et al	357/80		04/15/1988

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Document Number	Date	Country	Class	Subclass	Translation	
					Yes	No

OTHER DOCUMENTS

(INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

"Declaration of Mark Moshayed in Support of Plaintiff/CounterDefendant's Motion for Summary Judgment of Non-Infringement of U.S. Patent No. 4,956,694," Civil Action No. SACV- 98-822, Simple Technology, Inc. v. Dense-Pac Microsystems, Inc., 20 total pages (9 pages of the Declaration and 11 pages of attachments to Declaration).

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U.S. PATENT DOCUMENTS

*Examiner Initial	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date (if appropriate)
<i>CEB</i>	4,953,060	08/28/1990	Lauffer, et al	361/388		05/05/1989
	4,956,694	09/11/1990	Eide	357/74		11/04/1988
	4,983,533	01/08/1991	Go	437/7		10/28/1987
	4,994,411	02/19/1991	Naito, et al	437/209		03/10/1989
	4,996,583	02/26/1991	Hatada	357/70		02/09/1990
	4,996,587	02/26/1991	Hinrichsmeyer, et al	357/74		03/23/1990
	4,997,517	03/15/1991	Parthasarathi	156/630		01/09/1990
	5,001,545	03/19/1991	Kalfus, et al	357/70		09/09/1988
	5,012,323	04/30/1991	Farwnworth	357/75		11/20/1989
	5,014,113	05/07/1991	Casto	357/70		12/27/1989
	5,016,138	05/14/1991	Woodman	361/381		09/18/1989
	5,025,307	06/18/1991	Ueda, et al	357/80		03/29/1990
	5,031,072	07/09/1991	Malhi, et al	361/387		01/31/1990
	5,034,350	07/23/1991	Marchisi	437/207		03/14/1990
	5,041,015	08/20/1991	Travis	439/492		03/30/1990
	5,041,395	08/20/1991	Steffen	437/206		04/05/1990
	5,043,794	08/27/1991	Tai, et al	357/74		09/24/1990
	5,049,527	09/17/1991	Merrick, et al	437/220		06/09/1989
	5,057,903	10/15/1991	Olla	357/72		11/08/1990
<i>CEB</i>	5,057,906	10/15/1991	Ishigami	357/80		05/18/1990

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TRIAL NO.

10/092184

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U.S. PATENT DOCUMENTS

*Examiner Initial	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date (if appropriate)
REB	5,058,265	10/22/1991	Goldfarb	29/852		09/10/1990
1	5,065,277	11/12/1991	Davidson	361/383		07/13/1990
	5,068,708	11/26/1991	Newman	357/68		10/02/1989
	5,086,018	02/04/1992	Conru, et al	437/207		05/02/1991
	5,099,393	03/24/1992	Bentlage, et al	361/413		03/25/1991
	5,101,324	03/31/1992	Sato	361/400		02/28/1990
	5,104,820	04/14/1992	Go, deceased, et al	437/51		07/24/1991
	5,107,328	04/21/1992	Kinsman	357/74		02/13/1991
	5,108,553	04/28/1992	Foster, et al	205/125		09/25/1989
	5,128,831	07/07/1992	Fox, III, et al	361/396		10/31/1991
	5,138,430	08/11/1992	Gow, 3 rd , et al	357/70		06/06/1991
	5,138,434	08/11/1992	Wood, et al	357/74		01/22/1991
	5,140,745	08/25/1992	McKenzie, Jr.	29/852		07/23/1990
	5,151,559	09/29/1992	Conru, et al	174/52.4		12/11/1991
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	5,168,926	12/08/1992	Watson, et al	165/185		09/25/1991
	5,198,888	03/30/1993	Sugano, et al	257/686		12/20/1990
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REB	5,214,845	07/01/1993	King, et al	29/841		05/11/1992

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EB	5,221,642	06/22/1993	Burns	437/207		08/15/1991
	5,222,014	06/22/1993	Lin	361/414		03/02/1992
	5,223,739	06/29/1993	Katsumata, et al	257/676		09/13/1990
	5,231,304	07/27/1993	Solomon	257/684		07/10/1992
	5,236,117	08/17/1993	Roane, et al	228/180.21		06/22/1992
	5,239,447	08/24/1993	Cotues, et al	361/744		09/13/1991
	5,241,454	08/31/1993	Ameen, et al	361/744		01/22/1992
	5,243,133	09/07/1993	Engle, et al	174/52.4		02/18/1992
	5,247,423	09/21/1993	Lin, et al	361/719		05/26/1992
	5,262,927	11/16/1993	Chia, et al	361/784		02/07/1992
	5,266,834	11/30/1993	Nishi, et al	257/706		07/21/1992
	5,273,940	12/28/1993	Sanders	437/209		06/15/1992
	5,279,029	01/18/1994	Burns	29/856		05/11/1993
	5,279,991	01/18/1994	Minahan, et al	437/208		12/24/1992
	5,281,852	01/25/1994	Normington	257/685		12/10/1991
	5,307,929	05/03/1994	Seidler	206/331		09/16/1992
	5,311,060	05/10/1994	Rostoker, et al	257/796		07/28/1992
	5,311,401	05/10/1994	Gates, Jr., et al	361/760		07/09/1991
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